

10/26/01

11044 U.S. PTO

UTILITY  
PATENT APPLICATION  
TRANSMITTAL(Only for new nonprovisional applications  
under 37 CFR 1.53(b))

Title of Invention

Lateral Transistor Having Graded Base Region,  
Semiconductor Integrated Circuit And  
Fabrication Method Thereof

Named Inventor(s)

Makoto Yamamoto; Akio Iwabuchi

Attorney Docket

44471-265522 (13700)

Express Mail Label No.

EL910717824US

10/26/01 14949

10/26/01

## APPLICATION ELEMENTS

1. ☒ Fee Transmittal Form  
(Submit an original, and a duplicate for fee processing)
2. ☐ Applicant claims Small Entity status
3. ☒ Specification, Claims,  
and Abstract Total Pages 23
4. ☒ Drawings Total Sheets 9  
Total Pages 32
5. Oath or Declaration
- a. ☒ Newly executed (original or copy)
- b. ☐ Copy from prior application (37 CFR 1.63(d))  
(for continuation/divisional with Box 16  
completed)
- The entire disclosure of the prior application, from which  
a copy of the oath or declaration is supplied under Box  
5b, is considered as being part of the disclosure of the  
accompanying application and is hereby incorporated  
by reference therein.
- (i) ☐ DELETION OF INVENTOR(S)  
Signed statement attached deleting  
inventor(s) named in the prior  
application, see 37 CFR 1.63(d)(2)  
and 1.33(b).
6. ☐ Microfiche Computer Program (Appendix)

ADDRESS TO: Assistant Commissioner for Patents  
Box Patent Application  
Washington, D.C. 20231

## ACCOMPANYING APPLICATION PARTS

7. ☐ Nucleotide and/or Amino Acid Sequence  
Submission (if applicable, all necessary)
- a. ☐ Computer Readable Copy
- b. ☐ Paper Copy (identical to computer copy)
- c. ☐ Statement verifying identity of  
above copies
8. ☒ Assignment:
- a. ☒ Assignment Papers (cover sheet &  
document(s))
- b. ☐ Assignment is of record in parent  
application No. \_\_\_\_\_
9. ☐ 37 CFR 3.73(b) Statement  
(when there is an assignee)
- ☐ Power of Attorney by assignee
10. ☐ English Translation Document (if applicable)
11. ☐ Information Disclosure Statement (IDS) PTO-  
1449
- ☐ Copies of IDS Citations
12. ☐ Preliminary Amendment
13. ☒ Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)
14. ☒ Certified Copy of Priority Document(s) Japanese  
Patent Application No. P2001-128187
15. ☐ Other: \_\_\_\_\_

16. If a **CONTINUING APPLICATION**, check appropriate box and supply the requisite information:
- ☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No: \_\_\_\_\_
- Recite complete dependency back to first parent application:

## 17. CORRESPONDENCE ADDRESS

☒ Customer Number or Bar Code Label

23370

(Insert Customer Number or Bar Code Label here)

Name (Print/Type)	Roger T. Frost	Registration No. (Attorney/Agent)	22,176
Telephone	(404)815-6500		
Signature		Date	Oct. 26, 2001
			October 26, 2001

**FEE TRANSMITTAL**

Attorney Docket No. 4471-265522 (13700)

This sheet accompanies a patent application transmittal for the following application:

Inventor(s): **Makoto Yamamoto, et al.**Filing Date: **October 26, 2001**Title: **Lateral Transistor Having Graded Base Region, Semiconductor Integrated Circuit And Fabrication Method Thereof**

The filing fee is calculated as shown below:

**1. FILING FEE:**

		SMALL ENTITY		LARGE ENTITY	
FOR:		FEE	FEE PAID	FEE	FEE PAID
<input checked="" type="checkbox"/> UTILITY FILING FEE		\$370	\$	\$740	\$740
<input type="checkbox"/> DESIGN FILING FEE		\$165		\$330	
<input type="checkbox"/> PLANT FILING FEE		\$255		\$510	
<input type="checkbox"/> REISSUE FILING FEE		\$370		\$740	
<input type="checkbox"/> PROVISIONAL FILING FEE		\$80		\$160	
SUBTOTAL (1)			\$		\$740

**2. CLAIMS:**

				SMALL ENTITY		LARGE ENTITY	
FOR:	NO. FILED	NO. EXTRA	RATE	FEE		RATE	FEE
TOTAL CLAIMS	19 - 20 =	0	x 9 =	\$		x 18 =	\$0
INDEP. CLAIMS	3 - 3 =	0	x 42 =			x 84 =	0
<input type="checkbox"/> MULTIPLE DEPENDENT CLAIM PRESENTED			+140 =			+280 =	
SUBTOTAL (2)				\$			\$0

**3. ADDITIONAL FEES:**

		SMALL ENTITY		LARGE ENTITY	
FOR:		FEE	FEE PAID	FEE	FEE PAID
<input checked="" type="checkbox"/> LATE FILING, FEE OR OATH		\$65	\$	\$130	\$
<input type="checkbox"/> NON-ENGLISH SPECIFICATION		\$130		\$130	
<input type="checkbox"/> OTHER					
SUBTOTAL (3)			\$		\$

**TOTAL FILING FEES: \$740**A check is enclosed for the total amount: **\$740**☒ Charge any additional fees required under 37 C.F.R. 1.16 or 1.17 to Deposit Account 11-0855.

John S. Pratt

KILPATRICK STOCKTON LLP

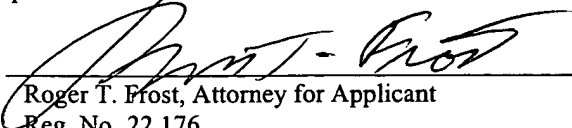
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Date: October 26, 2001